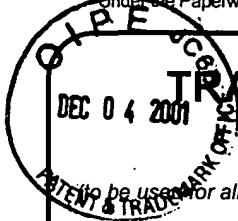


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Total Number of Pages in This Submission

1

Application Number	09/966,269
Filing Date	October 1, 2001
First Named Inventor	Takashi SASAKI
Group Art Unit	TBA
Examiner Name	TBA

Attorney Docket Number

001458.00014

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notice to File Corrected Application Papers; Notice to File Corrected Application Papers (Return Copy); Substitute Specification
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

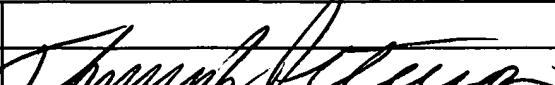
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas L. Peterson, Registration No. 30,969
Signature	
Date	December 4, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name			
Signature		Date	12-4-01

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UNITED STATES PATENT AND TRADEMARK OFFICE



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,269	10/01/2001	Takashi Sasaki	01458.00014

HD

CONFIRMATION NO. 5401

22907
BANNER & WITCOFF
1001 G STREET N W
SUITE 1100
WASHINGTON, DC 20001

FORMALITIES LETTER



OC00000006966074

Date Mailed: 10/24/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Alma Goss
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Takashi SASAKI et al) BOX: MISSING PARTS
Serial No. 09/966,269) Group Art Unit: TBA
Filed: October 1, 2001) Examiner: TBA
For: PROCESS FOR PRODUCING) Attorney Docket No. 001458.00014
POLYMERIC FILMS HAVING)
SHAPE-MEMORIZING PROPERTIES)

RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION PAPERS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers dated October 24, 2001, Applicants are hereby submitting a substitute specification that is in compliance with 37 CFR 1.52, correcting the specifications improper margins. Accordingly, it is respectfully requested that a filing date of October 1, 2001, be granted to this application which is the filing date of the original specification that were filed with the U.S. Patent and Trademark Office.

Respectfully submitted,

By: Thomas L. Peterson
Registration No. 30,969

Dated: October 30, 2001

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